

# Agenda

<b>Meeting name</b>	<b>Meeting of the Audit and Standards Committee</b>
<b>Date</b>	<b>Wednesday, 30 November 2022</b>
<b>Start time</b>	<b>6.30 pm</b>
<b>Venue</b>	<b>Parkside, Station Approach, Burton Street, Melton Mowbray, Leicestershire LE13 1GH</b>
<b>Other information</b>	<b>This meeting is open to the public</b>

Members of the Audit and Standards Committee are summoned to the above meeting to consider the following items of business.

**Edd de Coverly**  
**Chief Executive**

## Membership

<b>Councillors</b>	D. Pritchett (Chair)	C. Fisher (Vice-Chair)
	S. Atherton	P. Chandler
	J. Douglas	E. Holmes
	P. Posnett MBE	T. Webster
	J. Wilkinson	P. Wood

**Quorum:** 5 Councillors

<b>Meeting enquiries</b>	Democratic Services
<b>Email</b>	<a href="mailto:democracy@melton.gov.uk">democracy@melton.gov.uk</a>
<b>Agenda despatched</b>	Tuesday, 22 November 2022

No.	Item	Page No.
1.	<b>APOLOGIES FOR ABSENCE</b>	
2.	<b>MINUTES</b> To confirm the minutes of the previous meeting.	1 - 6
3.	<b>DECLARATIONS OF INTEREST</b> Members to declare any interest as appropriate, in respect of items to be considered at this meeting.	7 - 8
4.	<b>AUDIT AND STANDARDS COMMITTEE ANNUAL REPORT</b> The Chair to present his annual report.	9 - 14
5.	<b>INTERNAL AUDIT PROGRESS REPORT</b> The Head of Internal Audit to submit a report to update Members on the progress made in delivering the 2022/23 Internal Audit Plan and key findings arising from audit assignments completed.	15 - 38
6.	<b>INTERNAL AUDIT PLAN DEVELOPMENT FOR 2023/24</b> The Head of Internal Audit to submit an overview of the proposed Audit Planning process for 2023/24 and consult Members on risk areas consideration in the development of the Audit Plan.	39 - 42
7.	<b>INDEPENDENT REVIEW INTO THE OVERSIGHT OF LOCAL AUDIT &amp; THE TRANSPARENCY OF LOCAL AUTHORITY FINANCIAL REPORTING - THE REDMOND REVIEW</b> The Director for Corporate Services to submit a report providing Members with an updated summary of the Redmond Review into the effectiveness of local audit and the transparency of local authority financial reporting, the recommendations made to the Government, along with the Government's final response and the potential implications for the Council.	43 - 60
8.	<b>RISK MANAGEMENT ANNUAL REPORT</b> The Director for Corporate Services to submit an updated Risk Management Policy and Strategy for approval as well as provide an update on the risk management arrangements and outline the current significant risks that have been captured on the recent review of the Council's strategic risk register by the Senior Leadership Team.	61 - 94
9.	<b>REGULATION OF INVESTIGATORY POWERS ACT (RIPA) UPDATE 21-22</b> The Monitoring Officer to provide an update to members in relation to the Council's use of powers under the Regulation of Investigatory Powers Act 2000 (RIPA) from April 2021 to March 2022.	95 - 128

10.	<b>MEMBERS' CODE OF CONDUCT AND STANDARDS UPDATE</b> The Monitoring Officer to submit a report to update the Committee on the latest position with regard to standards matters including the Code of Conduct, the Registration of Disclosable Pecuniary Interests and Other Interests and any complaints against Councillors dealt with under the Council's process.	129 - 168
11.	<b>BRIBERY RISK ASSESSMENT</b> The Director for Corporate Services to submit a report.	169 - 178
12.	<b>URGENT BUSINESS</b> To consider any other items that the Chair considers urgent.	